

September 2011
LIC/LON/1011

Dear Permitted Trainer,

RENEWAL OF PERMIT TO TRAIN 2011/2012
UNDER RULE (C)6 OF THE RULES OF RACING

An application form is enclosed for the renewal of your Permit to Train for the period from 1st October 2011 until 30th September 2012.

Every application has to be considered individually upon its merits. In many cases correspondence is involved and in some cases, a personal interview is necessary. Therefore, please return your completed application to this office in good time to allow the Department to deal with your application.

In completing the enclosed form, you are directed to the attached guidance notes which should be read in full. You will be required to sign a declaration to confirm that you have read and understood and that you comply with each of the relevant sections.

In the event that your application is successful, the cheque enclosed in respect of your application fee will be banked.

IMPORTANT - CHANGE TO PUBLICATIONS


At the request of the Permit Trainers Association (PTA), it has been agreed that Permitted Trainers are no longer required to receive paper copies of the Racing Calendar or Programme Books.

As such, access to racing publications will be received **only** via the online Racing Administration website (www.racingadmin.co.uk).

Further details on how to continue receiving paper copies of either publication are detailed overleaf.

Should you require any further information or advice regarding renewal of your Licence, please do not hesitate to contact one of the Licensing team on 020 7152 0140 or licensing@britishhorseracing.com.

Yours sincerely,



John Smith
Licensing Team Manager

FEES LAID DOWN BY THE BRITISH HORSERACING AUTHORITY

The charging structure for the 2011 Permit fee is:

| 2011 Permit Fee | £ |
|---|----------------|
| Permit Fee | 205.00 |
| VAT @ 20% | 41.00 |
| On-line only access to the Racing Calendar & Programme Books | 298.30 |
| Rehabilitation of Racehorses | 10.00 |
| Donation to Racing Charities | 6.00 |
| TOTAL (incl. VAT) | £560.30 |

SUBSCRIBING TO PAPER PUBLICATIONS

The Permit fee detailed above will **only** provide online access to the Racing Calendar and Programme Books via the Racing Administration website (www.racingadmin.co.uk).

Should you wish to continue receiving paper copies of either publication, please contact Weatherbys Commercial Services on 01933 440077. The additional costs for these publications are as follows:

- Racing Calendar £103.00
- Programme Books £26.25

HALF YEARLY FEE: 1ST APRIL 2012 – 30TH SEPTEMBER 2012

Applicants applying after 1st April 2012 will be required to pay the reduced fee below:

| 2012 Half Year Permit Fee | £ |
|---|----------------|
| Permit Fee | 188.75 |
| VAT @ 20%* | 37.75 |
| On-line only access to the Racing Calendar & Programme Books | 154.15 |
| Rehabilitation of Racehorses | 10.00 |
| Donation to Racing Charities | 6.00 |
| TOTAL (incl. VAT) | £396.65 |

The half year cost for paper publications, requested via Weatherbys Commercial Services, are as follows:

- Racing Calendar £51.50
- Programme Books £13.13

LIC/LON/1011

**APPLICATIONS FOR A PERMIT TO TRAIN
GUIDANCE NOTES**

1. These Guidance Notes should be read before completing the Application Form to which they relate. They are designed to assist but should any matter be unclear, applicants are encouraged to contact the Authority's Licensing Team for further confidential guidance.
2. Applications are considered on their individual merits but within the framework of these Guidance Notes.
3. Applications should be sent to the Licensing Department, 75 High Holborn, London, WC1V 6LS. First time applicants should allow at least two months in order for the application to be considered and applicants for renewal a period of at least one month.
4. Applicants are required to demonstrate or confirm that:
 - They have the competence and capability to train;
 - They have access to appropriate training facilities;
 - They have security of tenure for the premises from which they wish to train;
 - They comply with relevant Health and Safety responsibilities;
 - They comply with all relevant employment responsibilities;
 - They have appropriate Public Liability Insurance and, where appropriate, Employers' Liability Insurance;
 - The necessary financial resources are available to the training operation; and
 - They are otherwise in all the circumstances suitable to hold a permit (i.e. that they are 'fit and proper').
5. Permits will not be granted to those who in the opinion of the Authority:
 - are not genuine 'amateurs' for whom the training of horses as a preparation for racing is a pastime and not a relevant part of a business enterprise
 - run a business of keeping horses belonging to persons outside the categories of those for whom they are eligible to train, except those horses which are kept solely for the purposes of maintaining the activities of a Stud
 - maintain a business of either making their own young horses for sale or taking horses which are the property of others to make or break into hunters, eventers or racehorses.
6. Renewal of a Permit is not automatic and the previous performances of horses trained by each applicant will be taken into account. Each application for renewal is considered on its merits but as a guide, your Permit may not be renewed if your runners have consistently jumped badly, fallen, always been behind, tailed off, been unruly, etc.

7. The detailed guidance contained in the remainder of this document sets out what is required and what each applicant will need to show.

A. COMPETENCE AND CAPABILITY

8. Each applicant will have to satisfy the Authority that he/she is competent to and capable of, training horses with due regard to the welfare of the horses in their care and the sport as a whole.
9. In determining a person's competence and capability the Authority will have regard to all relevant matters.

10. First time applicants will normally have to demonstrate at least the following:

- 10.1. Had several years experience in training establishments in a responsible and senior position preferably as an Assistant Trainer in a successful racing yard.

- 10.2. Running a Point to Point yard for at least 2 years and having achieved a minimum of 2 Point to Point/Hunter Chase wins (other than Members' Races) and had runners which have performed with distinction in Hunter Chases.

- 10.3. The Work Based Diploma (WBD) Level 3 in Racecourse Care and Management.

- 10.4. Successful completion of Module 1 of the training programme held either at the British Racing School, Newmarket or the Northern Racing College, Doncaster.

- 10.4.1. If you have already trained 5 winners and 50 runners under the Rules of a recognised Turf Authority (including Hunter Steeple Chases but excluding Point to Point Steeple Chases) you may request exemption from Module 1.

- 10.5. Enrolment on a one-day training seminar for potential trainers at Weatherbys' offices in Wellingborough, Northamptonshire.

11. A first time applicant will also have to satisfy him/herself and confirm to the Authority that:

- 11.1. They can recognise the signs of normal health, common diseases and ailments in a racehorse.

- 11.2. They have a sufficient understanding of:

- 11.2.1. the basic anatomy of horses, of preventative medicine and veterinary treatment;

- 11.2.2. the principles of exercise physiology related to racehorses and especially the effects of transport and the immediate pre- and post-race periods;

- 11.2.3. the principles of feeding racehorses;
 - 11.2.4. racecourse procedures, e.g. declarations, saddling up, etc; and
 - 11.2.5. the regulatory requirements of the Authority and the Rules of Racing, particularly in relation to integrity.
12. In relation to persons who have previously been licensed outside Great Britain, a Certificate of Clearance and licensing record from the relevant Turf Authority (under whose Rules they were last licensed) should also be provided.

B. TRAINING YARD AND FACILITIES

13. If a permit to train is granted, it is on the understanding that it is restricted to training horses at or from the stables to which the application relates; it does not permit the applicant to train at or from any other stables. Application forms for the approval of a Change of Stables are available from the Licensing Department.
14. The yard and training facilities must be of a standard (as to suitability and security) that meets the requirements of an inspection to be carried out before any permit is granted by a Stable Inspecting Officer from the Authority's Integrity Services and Licensing Department and, when considered appropriate, a Veterinary Officer.
15. All applicants will be expected to have the following facilities within hacking distance of their stables:
- 15.1. Gallops of approximately 6 furlongs;
 - 15.2. At least one plain fence and one open ditch with wings over which 2 horses are able to school alongside one another (15 feet in width). Fences should be well-packed and firm, with painted guard rails. If possible, they should form part of an acceptable gallop;
 - 15.3. At least 2 flights of hurdles with wings over which 2 horses can school alongside (15 feet in width); and
 - 15.4. Access to 'nursery facilities', e.g. poles, logs, tyres, etc, for teaching young horses to jump or for re-educating older horses.
16. If the applicant intends to use 'central' gallops and/or schooling facilities e.g. Epsom, Lambourn, Malton, Middleham and Newmarket, or facilities owned by another person he/she must obtain provisional approval from the appropriate persons before submitting the application. If the application is subsequently granted, it will be a condition of the licence that the Permitted Trainer obtains written confirmation of the availability of these facilities.

C. SECURITY OF TENURE

17. The applicant or the person or persons who will run the proposed training operation must have security of tenure in respect of the yard and training facilities. In the case of a new application a copy of the draft lease or tenancy agreement will be required.

D. HORSES

18. In accordance with Rule (C)4, the holder of a Permit to Train is only qualified to train horses for Steeple Chases, Hurdle races and National Hunt Flat Races which are the sole property of:
- 18.1. the holder of the permit,
 - 18.2. his spouse or civil partner;
 - 18.3. his parents or grandparents,
 - 18.4. his sons or daughters,
 - 18.5. his grandchildren,
 - 18.6. his brothers or sisters,
 - 18.7. a Person who appears to the Authority to be co-habiting with the holder of the permit by reason of a personal relationship, and
 - 18.8. the executors or administrators for any of the above.
19. All horses must be free of all leases or other joint arrangements apart from those between the Persons for whom the permit holder is entitled to train.
20. Permits will not normally be granted to new applicants wishing to train horses with no proven form in Point-to-Point Steeplechases or under the Rules of Racing

E. HEALTH AND SAFETY

21. An employer has certain responsibilities for compliance with Health and Safety legislation. Applicants are provided with 'the Red Book' regarding Health and Safety in the Racing Industry. These are guidelines drawn up in collaboration with the Health and Safety Executive (HSE) to show Trainers how to interpret their legal requirements using simple explanations and practical examples. Applicants are also encouraged to seek advice from their local HSE representative.

F. STAFF

22. Applicants are asked to give an undertaking when applying for a permit that the staff will be employed in accordance with such fair and reasonable terms and conditions of service which, taken as a whole, are no less favourable than the Terms and Conditions of Service agreed and established by the National Joint Council for Stable Staff (Schedule (C)1).

G. PUBLIC LIABILITY INSURANCE

23. All licensed Trainers are required to have Public Liability Insurance providing minimum cover of £2 million. This policy must include cover for any awards of damages given to a member of the public arising out of the death, bodily injury or damage to property suffered by members of the general public at a recognised race meeting. Trainers may also to require Employers' Liability Insurance.

H. GENERAL SUITABILITY ('FIT AND PROPER')

24. In considering any application, the Authority must also be satisfied, taking into account any fact or matter that it considers appropriate, that the applicant is suitable to hold a Permit to Train. Relevant considerations include the applicant's honesty and integrity, and financial soundness.
25. In relation to each section below, the Authority expects full and frank disclosure from the applicant, who is required to disclose matters known to him/her and those which he/she can be expected to discover by making enquiries. Failure to do so will be a relevant factor in the assessment as to an applicant's, competence, honesty and integrity.
26. A person whose conduct, behaviour or character is not in accordance with that which, in the opinion of the Authority, should be expected of a permitted person, may not be considered suitable and therefore may be refused a permit.
27. In some cases a single factor may lead to the conclusion that someone is not suitable, whereas in another case the determination of whether someone is not suitable may depend upon the cumulative assessment of a number of matters.
28. It is not possible to produce a definitive list of all matters that would be relevant to a particular application. This document should be considered a guide as to the sorts of considerations that the Authority will have in mind when making such an assessment.

Honesty and Integrity

29. The criteria to which the Authority will have regard in assessing honesty and integrity include the following:
 - 29.1. Whether the applicant has been convicted of any criminal offence in Great Britain, or a foreign jurisdiction, excluding offences which are spent under the Rehabilitation of Offenders Act 1974 and in the case of foreign offences, such as may be appropriate. Particular consideration will be given to offences of dishonesty, fraud and those relating to sexual conduct, violence, animal welfare and health and safety.
 - 29.2. Whether the applicant is the subject of any proceedings of a criminal nature, has been charged in connection with any alleged criminal offence or is aware of circumstances which may lead to his/her being so charged.
 - 29.3. Whether the applicant has been the subject of any adverse finding by a judge in any civil proceedings, or has settled civil proceedings brought against him/her relating to any matter which could reasonably be said to materially affect his/her suitability to hold a permit.
 - 29.4. The applicant's record of compliance with the regulatory requirements of the Authority or its predecessors, of any other Turf Authority or of a regulator of any other sport in which he/she has participated or has been otherwise involved.

- 29.5. Whether the applicant has been candid, open and truthful in all his/her dealings:
 - 29.5.1. with the Authority in relation to the present or relevant past licence applications; and
 - 29.5.2. with any other Turf Authority or other sports regulator.
 - 29.6. Whether or not the applicant has been dismissed from any previous employment or position of trust or has been asked to resign or resigned on grounds connected with his/her honesty or integrity.
 - 29.7. Whether an applicant has been convicted of, or dismissed or suspended from employment for drug or alcohol abuses or other abusive acts or has other lifestyle or social issues, which are likely either to:
 - 29.7.1. Impair significantly his/her ability to safeguard the welfare of the horses in training or meet the regulatory requirements of the Authority; or
 - 29.7.2. Render the applicant a threat to the health, welfare or safety of others involved in horseracing, or to the integrity of the sport.
 - 29.8. Whether the applicant has engaged in conduct or there are circumstances which may render the applicant susceptible to pressure from persons seeking to corrupt horseracing and whether the applicant is likely to or may engage in such conduct.
 - 29.9. Whether the applicant has observed the Integrity Provisions contained within these Guidance Notes
30. An applicant's fitness and propriety includes assessment of the fitness and propriety of those with whom he/she is or may be associated or connected with in their personal or business dealings. For example, if the applicant has been a director or shareholder of a company that has committed a criminal offence, that matter will be taken into account and its ultimate relevance to his/her suitability will be assessed in the light of the applicant's responsibility (if any) in relation to that offence.
31. The Authority has a policy of not granting a trainer's permit to a person actively engaged as a Bookmaker having made the judgement that such a close financial interest, potentially in conflict with his interest as a trainer, is likely to diminish public confidence in the integrity of racing. Other interests of trainers in betting businesses, e.g. shareholdings other than in plcs or in different types of betting (spread betting) will be considered on a case by case basis and a judgement made on whether the interest in question could reasonably cause public concern about the integrity of racing. Factors to be taken into account by the Authority will include the size of the shareholding and the degree of involvement with the management of the business. If it came to the Authority's notice that a trainer had an interest and that interest was subsequently judged to compromise the trainer, he would be given reasonable time to divest himself of the interest in a manner acceptable to the Authority.

Administrative Competence And Capability

32. The applicant must also demonstrate his/her competence and capability to run the proposed training operation, The Rules of Racing require that the training operation to which the licence relates must be carried on with reasonable care and skill.

32.1. Whether there are or will be in place such measures as may be required:

32.1.1. by the Health and Safety Executive or other competent body pursuant to health and safety legislation; and

32.1.2. in connection with animal welfare;

32.2. Whether there are in place such procedures or measures as will:

32.2.1. ensure that the employees are from time to time aware and made aware (e.g. by appropriate health and safety induction) of those requirements and standards as they apply to the operation; and

32.2.2. enable the applicant to determine that their employees are acting in the manner required by those standards.

33. Whether there are in place such facilities and procedures as are required by law and/or are reasonably necessary in connection with the provision of a safe system of work and health and safety at the premises where the training operation is to be undertaken.

Financial Soundness

34. The Authority will take into account the financial track record of an applicant and all the relevant circumstances in assessing the likely financial soundness of the applicant.

35. Relevant factors include:

35.1. Whether the applicant has been the subject of any judgement debt or award in Great Britain or elsewhere, which remains unpaid or was not satisfied within a reasonable period.

35.2. Whether the applicant has ever, in Great Britain or elsewhere, made arrangements with his creditors, filed for bankruptcy, had a bankruptcy petition served on him, been adjudged bankrupt, or been the subject of any other bankruptcy process (including any restrictions order or undertaking or sequestration of assets).

Integrity Provisions

36. The following Integrity Provisions set out the standards of behaviour to be observed by all Permitted Trainers as conditions of the grant of their Permit. As a Permitted Trainer, you agree to:

- 36.1. Avoid the company of Persons whose conduct, character or reputation indicate that they may pose a threat to the integrity of horseracing.
- 36.2. Make sure you have read and understood the definition of Inside Information in Rule (A)36.
- 36.3. Refrain from regularly passing Inside Information to anyone other than the connections of the horse, even where there is no reward except in cases specifically allowed for in the Rules.
- 36.4. Ensure that relationships with Betting Organisations or any Person representing a Betting Organisation do not confer special privileges or concessions which may invite adverse inferences to be drawn.
- 36.5. Report to the Authority any suspicious or unusual financial transactions (for example, cash payments in excess of a total of £10,000 for a transaction connected with the business of training racehorses).
- 36.6. Ensure that the usage of pass cards and any metal badges within a licensed racecourse complies with the user conditions laid down by the Racecourse Association.

I. SPECIFIED REQUIREMENTS

Absolute Requirements

37. The specified cases detailed below are requirements which an applicant must satisfy in order for an application to be considered by the Authority:

| Requirement | Guidance Notes Reference Point |
|--|---------------------------------------|
| To supply such documentation detailed in the Guidance Notes & Application Form (first time applicants only) | |
| Obtainment of the Level 3 Diploma in Work Based Racehorse Care and Management (WBD) via pathway C, D or H (first time applicants only) | 10.3 |
| Completed Module 1 of the Trainers Modules (unless meeting the requirement for exemption as detailed in the 'Guidance Notes') (first time applicants only) | 10.4 |
| To submit a certificate of clearance where the applicant has previously been licensed outside Great Britain from the relevant Racing Authority | 12 |
| Premises from which to train | 13 |
| Access to those facilities detailed in the Guidance Notes for Permits to Train | 14 & 15 |
| Security of Tenure for the proposed training premises | 17 |
| A minimum of 1 horse to train should the application be granted, which meets the requirements of Rule C(4) | 18 |
| Confirmation that any staff are employed in accordance with Schedule (C)1 of Rules of Racing | 22 |
| Public Liability Insurance which meets those requirements detailed in the Guidance Notes. | 23 |

38. The mere provision of the documents required above may not be sufficient to ensure that an application is considered by the Authority. Such documents must also be complete and fit for purpose. Similarly, whilst satisfaction by the applicant of the other requirements in paragraph 36 (as a matter of fact) will enable the Authority to consider their application, the applicant should not presume that will result in a Permit being granted. The manner in which such requirements are met will be one of the factors for the Authority to consider in assessing the applicant's suitability in accordance with these Guidance Notes, and in deciding whether or not to grant a Permit."

Procedural Guidelines for applications for Licences, Permits and Registrations

39. For the full procedural guidelines relating to applications to the Authority for Licences, Permits and Registrations and procedural guidelines for hearings of the Licensing Committee, where it is considered appropriate to convene a hearing pursuant to the Rules of Racing please visit <http://rules.britishhorseracing.com//> -
General Manual (A) Schedule 9.

APPLICATION FORM FOR RENEWAL OF PERMIT TO TRAIN

UNDER RULE (C)6 OF THE BHA RULES OF RACING

(For the period from 1st October 2011 ending 30th September 2012)



OFFICE USE ONLY

| | | | | | |
|------|------|-------|----|-----|------|
| Rec: | Fee: | Prev: | G: | No: | Key: |
|------|------|-------|----|-----|------|

Please read the enclosed Guidance Notes before completing the application form and answer **ALL** questions. Please do not leave any questions unanswered. If a question is not applicable insert "N/A".

PAYMENT & PUBLICATIONS

A cheque for **£560.30** (incl. VAT £41.00) made payable to the 'British Horseracing Authority Ltd' must accompany this form. Applications made after 1st April 2012 will be required pay a reduced fee of £396.65 (incl. VAT £37.75).

Please note this will provide **internet access only** to the Racing Calendar and Programme Books. Should you wish to receive paper copies, please contact Weatherbys (see covering letter for further information).

PERSONAL DETAILS

Name (in full) _____ Mr/Mrs/Miss/Ms: _____

Home Address _____

_____ Postcode _____

Home No _____ Fax No _____

Mobile No _____ E-mail _____

Profession, Business or Occupation _____

Name and address of Business/Employer _____

_____ Postcode _____ Tel No. _____

TRAINING ESTABLISHMENT

If there have been **NO** changes since your last application please tick here and continue to "TRAINING FACILITIES"

Name of **PRINCIPAL STABLE YARD** _____

Stables Tel. No _____ Number of boxes available in this yard:

Is the Principal Yard in your sole ownership? Yes No

If the answer is no, please state details of ownership and arrangements under which it is occupied together with details of any Lease or Tenancy Agreement and date of expiry:

Is any other business, other than the training of racehorses, conducted at these premises?

If your residence and the principal yard are separate, please give details of the individual living at the yard:

Name _____ Position held _____

ADDITIONAL YARDS

Details of any other yards under your control that are used for equine purposes

Name & address by which yard is known _____ No of Boxes _____

General description of use to which this yard is put: _____

TRAINING FACILITIES

Note: If you depend upon gallops or schooling facilities owned by someone other than yourself, written confirmation for their use from the owner must be produced annually and accompany this form.

If applicable, please state if such authority is enclosed or to follow: _____

If there have been **NO** changes since your last application please tick here and continue to "HEALTH & SAFETY"

GALLOPS

Do you own the main gallops? Yes No

If no, please state the name of the owner and arrangements under which they are used, (eg. leased, central facilities)

Approximate distance to be travelled from your Principal Yard to the main gallops:

If applicable, please state the names of the gallops used: _____

SCHOOLING FENCES & HURDLES

Number of schooling fences available to you: _____

Number of flights of hurdles available to you: _____

Approximate distance from principal yard _____

Do you own your own fences and hurdles? Yes No

If no, under what arrangements are they used? _____

HEALTH AND SAFETY

I confirm that, as an employer, I will fully comply with all requirements of current Health and Safety Legislation or any other Statutory Requirements Yes No

EMPLOYMENT OF STAFF

Do you undertake to employ your staff in accordance with such fair and reasonable terms and conditions of service which, taken as a whole, are no less favorable than the Terms and Conditions of Service agreed and established by the National Joint Council for Stable Staff (See Schedule (C)1 of the BHA Rules of Racing) for the duration of the Permit for which you are applying?

Yes No

If the answer to the above question is 'NO', full details of the terms and conditions under which you will employ your

DECLARATION

The British Horseracing Authority ("BHA") undertakes to consider this application in accordance with and subject to the Rules of Racing and the criteria and procedures approved from time to time by the BHA (see attached Guidance Notes).

A person who is not a party to this application has no right under the Contracts (Rights to Third Parties) Act 1999 to enforce any term of the agreement resulting from the application.

Any information (including personal data and sensitive personal data) provided by the applicant may be held by the BHA or Weatherbys Group Ltd in their computer records, and such information may be properly disclosed to other agencies registered to receive such information in connection with the management, regulation and integrity of horseracing and otherwise used or disclosed by the BHA in connection with the regulation of horseracing generally.

Applicant's Declaration:

To the best of my knowledge and belief the foregoing particulars as completed in this Application Form are accurate and true. I have read and understood the Guidance Notes which accompany this Form. I confirm that I have disclosed any information known to me which might reasonably be said to be relevant to the consideration of my application and that I meet each criteria relevant to my application. I understand that if the Authority considers that I have knowingly omitted material information, it may reject my application. I further understand that the Authority reserves the right to factor any relevant information into the assessment of my suitability for a licence. During the currency of my licence, I undertake to notify the BHA immediately of any matter or change of circumstance which, on the basis of the Guidance Notes, could reasonably be said to be relevant to my position as a Permitted Trainer including but not limited to, if I am convicted of any criminal offence, if I or my employing company become bankrupt or insolvent, or a proposal for an Individual Voluntary Arrangement (IVA) is made against me.

I agree to be bound in all respects by the Rules of Racing, and the Rules of any recognised Turf Authority when racing horses abroad.

I acknowledge that any inspection of my training establishment by the BHA is only for the purposes of assessing the adequacy of the training establishment and its facilities for the activity of training racehorses and that the issuance of a licence to train does not constitute any acknowledgment or indication by the BHA that it considers I have complied with the requirements of Health and Safety legislation or any other statutory requirements. I acknowledge that compliance with such legislation is a matter wholly within my own responsibility.

I agree that in addition to my statutory PAYE records, I will maintain and keep a wages book or other record in which is set out the gross amount earned and net amount paid (with details of all deductions). Those records will also include details of overtime payments made, including details of time worked away from the yard and subsistence payments, where applicable, and holiday records (including statutory holidays) each week for each member of my stable staff during the term of my licence. I agree to produce my wages books or other records referred to above if required.

To the best of my knowledge, I am solvent and meeting my liabilities in connection with the training of racehorses under Rules, as and when they fall due. Save as otherwise expressly declared by me to the BHA (if applicable), I am not a party to any current litigation and, as far as I am aware, there is no litigation threatened or pending against me which may have an adverse effect on my ability to meet my commitments. As far as I am aware, there are no issues or circumstances which may adversely affect my solvency in the foreseeable future.

I agree to wear a skull cap or riding hat conforming to the current standard as laid down by the BHA under Schedule (D)2 whenever riding in the course of my work as a trainer.

I acknowledge and accept that it is the policy of the BHA to publish its licensing decisions. This includes giving reasons for the refusal of licences, the imposition of conditions on licences or the withdrawal of licences. I agree that the BHA may publish any information concerning me which it has considered in reaching such decisions.

I consent and authorise the BHA or its agents to make enquiries to, and ascertain from, all appropriate authorities whether there is anything known or shown on records which could be relevant to the decision to grant me a licence or not. Such authorities shall include, but are not limited to, credit reference agencies, Criminal Records Bureau and/or any police authority in other jurisdictions, and any Turf Authority in other jurisdictions. Further, I hereby consent to and authorise such authorities to provide such information about me to the BHA.

The British Horseracing Authority has the opportunity to use its address list as a source of revenue for the benefit of the racing industry by undertaking mailings on behalf of selected companies, individual addresses are not divulged. Please tick here if you **do not** wish to receive such mailings

Signature of Applicant: _____ **Date:** _____

PART B *

HORSES YOU INTEND TO TRAIN LATER IN THE SEASON BUT WHICH ARE CURRENTLY IN THE CARE OF A LICENSED TRAINER

| Name of Owner | Relationship | Name of Horse | Sire | Dam | Year of Foaling | Imported From |
|---|--------------|---------------|------|-----|-----------------|---------------|
| | | | | | | |
| | | | | | | |
| | | | | | | |
| Are all the horses listed in part A) and part B) the sole property of the persons described as their owners (including part-owners) free of all lease or other joint arrangement? | | | | | | |
| | | | | | YES | NO |
| If the answer to the above question is "no", please give particulars of ownership below | | | | | | |
| | | | | | | |

* Please note a Form N1TP is not required for horses listed in Part A overleaf. However, a form N1TP must be submitted when a horse mentioned in Part B above arrives in training with you. This also applies to any other new arrival.

PARTICULARS OF ALL OTHER HORSES CURRENTLY STABLED ON YOUR PREMISES

| Name of Owner | Relationship | Name of Horse | Purpose for which kept e.g. Hack, Broodmare, Stud |
|---------------|--------------|---------------|---|
| | | | |
| | | | |
| | | | |
| | | | |

This application should be returned to The Licensing Department, British Horseracing Authority, 75 High Holborn, London, WC1V 6LS.